

# Correspondences and stability

Bradd Hart<sup>2</sup>

Joint work with I. Goldbring and T. Sinclair

June 21, 2019

---

<sup>2</sup>I acknowledge the traditional lands of the Piscataway and Pamunkey

# Some functional analysis

- Fix a complex Hilbert space with inner product  $\langle \cdot, \cdot \rangle$  and consider  $B(H)$ , the set of all bounded operators on  $H$ .
- Recall that a linear map  $A : H \rightarrow H$  is said to be bounded if the operator norm of  $A$  is finite i.e.

$$\|A\| := \sup\left\{ \frac{\|Ax\|}{\|x\|} : x \in H, x \neq 0 \right\} < \infty.$$

These are the continuous linear operators on  $H$ .

- $B(H)$  has a natural complex algebra structure with addition, scalar multiplication, composition as multiplication and one additional operation, the adjoint  $*$ , defined as follows: for  $A \in B(H)$  and  $x, y \in H$ ,

$$\langle Ax, y \rangle = \langle x, A^*y \rangle.$$

- An operator algebra is a closed  $*$ -subalgebra of  $B(H)$ ; the unit will always be included although non-unital algebras are also interesting.
- There are two topologies we are going to care about.
- The first is the topology induced by the operator norm; closed  $*$ -subalgebras are  $C^*$ -algebras.
- The second is the weak operator topology; the closed  $*$ -subalgebras are von Neumann algebras ( $vNa$ ).
- For this talk we will only care about particular types of  $vNa$ 's so let me define those.

If  $M$  is an operator algebra then we say  $\tau : M \rightarrow \mathbb{C}$  is a trace on  $M$  if  $\tau$  is a complex linear map on  $M$  satisfying:

- 1 (positive)  $\tau(a^*a) \geq 0$  for all  $a \in M$ ,
- 2 (trace condition)  $\tau(ab) = \tau(ba)$  for all  $a, b \in M$ ,
- 3 (normal)  $\tau(\sup \mathcal{P}) = \sup_{p \in \mathcal{P}} \tau(p)$  for all sets of projections  $\mathcal{P}$  and we will also assume
- 4 (faithful) for all  $a \in M$ ,  $\tau(a^*a) = 0$  iff  $a = 0$ , and
- 5 (normalized)  $\tau(1) = 1$ .

If  $\tau$  is a trace on  $M$ , we call the pair  $(M, \tau)$  a tracial algebra.

# Tracial von Neumann algebras, cont'd

- $M_n(\mathbb{C}) = B(\mathbb{C}^n)$  has a trace;  $\tau(A) = \text{Tr}(A)/n$ .
- $L^\infty([0, 1])$ , bounded Lebesgue integrable complex-valued functions on  $[0, 1]$ ;

$$\tau(f) = \int_0^1 f \, dx.$$

- $B(H)$  for an infinite-dimensional  $H$  is a vNa but is not tracial.

## Fact

*If  $(M, \tau)$  is a tracial algebra then  $\|a\|_2 = \sqrt{\tau(a^*a)}$  defines a norm on  $M$ . If the operator norm unit ball of  $M$  is complete wrt  $\|\cdot\|_2$  then  $M$  is a vNa.*

# The standard representation

- Suppose  $(M, \tau)$  is a tracial algebra.
- Let  $L^2(M, \tau)$  be the Hilbert space obtained by completing  $M$  wrt  $\|\cdot\|_2$ . This is called the standard representation of  $M$ .
- Notice that  $M$  acts naturally on  $L^2(M, \tau)$  on the left and right by multiplication. So  $L^2(M, \tau)$  has a natural (Hilbert) bimodule structure. If we want to emphasize  $M$  we say it is an  $M$ -bimodule or correspondence.

- Here is the notion that got us interested in looking at the theory of correspondences; it is the vNa analogue of Kazhdan's property T for unitary group representations.
- We say that a  $\text{II}_1$  factor (read tracial vNa if you don't know what this is)  $M$  has property T if for every  $\epsilon > 0$  there is a finite  $F \subseteq M$  and  $\delta > 0$  such that if  $H$  is an  $M$ -bimodule,  $\xi \in H$  is a unit vector and  $\|[x, \xi]\| \leq \delta$  for all  $x \in F$  then there is a central vector  $\eta \in H$  such that  $\|\eta - \xi\| \leq \epsilon$ .
- This seems to say that central vectors form a definable set at least in the right logic.

# One slide on continuous model theory

A language  $\mathcal{L}$  has sorts, functions and relations. An  $\mathcal{L}$ -structure is an interpretation of the language subject to the following:

- Sorts are complete, bounded metric spaces with designated metrics.
- Functions are defined on sorts and are uniformly continuous; the language knows the uniform continuity modulus.
- Relations are defined on sorts, bounded and real-valued. They are also uniformly continuous and this is known to the language.
- Terms are formed by composing functions; formulas are defined inductively by:
  - $R(\tau_1, \dots, \tau_n)$  where  $R$  is a relation and  $\tau_1, \dots, \tau_n$  are terms,
  - if  $f: R^n \rightarrow R$  is continuous and  $\varphi_1, \dots, \varphi_n$  are formulas then  $f(\varphi_1, \dots, \varphi_n)$  is a formula, and
  - $\sup_x \varphi$  and  $\inf_x \varphi$  are formulas if  $\varphi$  is.



- Suppose  $\mathcal{U}$  is an ultrafilter on a set  $I$  and  $\bar{r} = \langle r_i : i \in I \rangle$  is an  $I$ -indexed family of real numbers. We define the ultralimit of  $\bar{r}$  with respect to  $\mathcal{U}$  as follows:

$$\lim_{i \rightarrow \mathcal{U}} r_i = r \text{ iff for every } \epsilon > 0, \{i \in I : |r - r_i| < \epsilon\} \in \mathcal{U}.$$

- If  $(X_i, d_i)$  is an  $I$ -indexed sequence of uniformly bounded metric spaces. Define the pseudo-metric  $d$  on  $\prod_{i \in I} X_i$  as follows:

$$d(\bar{x}, \bar{y}) = \lim_{i \rightarrow \mathcal{U}} d_i(x_i, y_i).$$

- The metric ultraproduct of the  $X_i$ 's with respect to  $\mathcal{U}$ ,  $\prod_{\mathcal{U}} X_i$ , is the metric space obtained by quotienting  $\prod_{i \in I} X_i$  by  $d$ .

Suppose that  $\mathcal{L}$  is a language and  $M_i$  is an  $\mathcal{L}$ -structure for each  $i \in I$ ; fix  $\mathcal{U}$  an ultrafilter on  $I$ . We create  $\prod_{\mathcal{U}} M_i$  as follows:

- If  $S$  is a sort, form  $\prod_{\mathcal{U}} S(M_i)$ .
- Define functions coordinatewise on sorts.
- For a relation  $R$  and  $\bar{a}$  from an appropriate sort, let

$$R(\bar{a}) = \lim_{i \rightarrow \mathcal{U}} R^{M_i}(\bar{a}_i).$$

## Theorem

*For a class of  $\mathcal{L}$ -structures  $\mathcal{C}$ , TFAE*

- *$\mathcal{C}$  is an elementary class i.e. all  $\mathcal{L}$ -structures satisfying some set of sentences.*
- *$\mathcal{C}$  is closed under isomorphisms, ultraproducts and elementary submodels.*
- *$\mathcal{C}$  is closed under isomorphisms, ultraproducts and ultraroots.*

# Background on correspondences

Fix a tracial von Neumann algebra  $(M, \tau)$ .

- A representation of an operator algebra  $A$  on a Hilbert space  $H$  is a  $*$ -homomorphism from  $A$  to  $B(H)$ .
- An  $M$ -correspondence is a Hilbert space  $H$  together with commuting normal representations  $\pi_M$  and  $\pi_{M^{op}}$ , the left and right actions.
- If  $H$  is an  $M$ -correspondence,  $\xi \in H$  is  $K$ -bounded if for all  $c \in M_+$ ,

$$\langle c\xi, \xi \rangle \leq K\tau(c) \text{ and } \langle \xi c, \xi \rangle \leq K\tau(c).$$

- A correspondence is called cyclic if it is singly generated by a bounded vector.
- Fact: Every correspondence is the direct sum of cyclic correspondences. In fact, the bounded vectors are dense in any correspondence.

# The language of correspondences

Fix tracial von Neumann algebras  $M$ . The language  $\mathcal{L}$  of an  $M$ -correspondence  $H$  and its intended interpretation are:

- for each  $K \in \mathbb{N}$ , there will be a sort  $S_K$  and  $S_K(H)$  will be the set of  $K$ -bounded vectors. The metric will be induced by the inner product on  $H$ ;
- for  $K < L$  there will be an isometry from  $S_K$  to  $S_L$  which for a given correspondence will be interpreted as the inclusion map;
- $+$  will be defined on all pairs of sorts and will be interpreted standardly as the restriction of addition; and,
- there will be unary functions for each  $c \in M$  which implement the left and right actions.

We call the structure in this language associated with  $H$ , the dissection of  $H$ ,  $D(H)$ .

# Ultraproducts of correspondences

- 1 Fix  $M$ -correspondences  $H_i$  for  $i \in I$  and an ultrafilter  $\mathcal{U}$  on  $I$ . We can form the ultraproduct in two ways:
- 2 We could take the ultraproducts of the dissections. This amounts to forming  $S_K(H_i)$  for each  $K$  and  $i$  and let  $H$ , the ultraproduct, be the closure of

$$\bigcup_K \left( \prod_{\mathcal{U}} S_K(H_i) \right)$$

in  $\prod_{\mathcal{U}} H_i$ .

- 3 Alternatively, we could take those  $\xi \in \prod_{\mathcal{U}} H_i$  at which the left and right actions at  $\xi$  are continuous i.e.  $L_\xi : M \rightarrow \prod_{\mathcal{U}} H_i$  and  $R_\xi : M^{op} \rightarrow \prod_{\mathcal{U}} H_i$  are bounded.

## Theorem

- 1 *If  $M$  is a tracial von Neumann algebra then the class of  $M$ -correspondences forms an elementary class.*
- 2 *The theory of  $M$ -correspondences is*
  - 1 *stable,*
  - 2 *classifiable, and*
  - 3 *has a model companion.*

# The lazy explanation

- Recall that every  $M$ -correspondence is a direct sum of cyclic correspondences.
- Lazily, there are only boundedly many cyclic correspondences because they are bounded in size.
- Similarly, a lazy description of the model companion is the theory of

$$\overline{\bigoplus_{H \in \mathcal{P}} H^{\oplus \omega}}$$

where  $\mathcal{P}$  is the set of all cyclic correspondences.



## Definition

An element of  $M$  of the form  $a^*a$  is called positive.

- 1 A linear map is positive if it sends positive elements to positive elements.
- 2  $\varphi : M \rightarrow M$  is completely positive (c.p.) if  $\varphi_n$  is positive for all  $n$  where  $\varphi_n : M \otimes M_n(\mathbb{C}) \rightarrow M \otimes M_n(\mathbb{C})$  such that

$$\varphi_n(a \otimes b) = \varphi(a) \otimes b.$$

## A deeper explanation, cont'd

- Fix a c.p. map  $\varphi : M \rightarrow M$  and consider the form on  $M \otimes M$  determined by

$$\langle a \otimes b, c \otimes d \rangle_\varphi = \tau_M(\varphi(c^* a) d^* b).$$

- The completion of  $M \otimes M / \langle \cdot, \cdot \rangle_\varphi$  with the left and right action induced from the tensor product is a cyclic correspondence with cyclic vector  $1 \otimes 1$ .
- In fact, all cyclic correspondences have this form and every bounded vector in a correspondence gives rise to a c.p. map from  $M$  to  $M$ .
- $L^2(M, \tau)$  corresponds to the identity function and is the trivial correspondence.

# Some open questions

- Independence and orthogonality are well understood in a formal sense in theories of correspondences.
- Is there a good notion of minimal cyclic correspondence and if so, what do the associated c.p. maps look like?
- Say that a correspondence  $H$  is ample if whenever  $K$  is a cyclic correspondence and  $K$  embeds into  $H^{\mathcal{U}}$  then  $K^{\oplus \omega}$  embeds into  $H^{\mathcal{U}}$ . Equivalently the algebraic closure of the empty set in the theory of  $H$  is 0.
- Fact: If  $H$  is ample then the theory of  $H$  has quantifier elimination.
- Is every theory of correspondences ample? In particular, for a given  $(M, \tau)$ , is  $L^2(M, \tau)$  ample?
- A question which is not open is whether the central vectors form a definable set in the theory of  $M$ -correspondences. They do.

Thank you.  
Happy birthday Chris!